



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



CONFIRMATION NO. 5049

Bib Data Sheet

SERIAL NUMBER 10/797,889	FILING OR 371(c) DATE 03/10/2004 RULE	CLASS 705	GROUP ART UNIT 3691	ATTORNEY DOCKET NO. D-51
-----------------------------	------------------------------------------------	--------------	------------------------	--------------------------------

## APPLICANTS

James Benjamin Williams, Sherborn, MA;  
 Francois G. Gadenne, Marblehead, MA;

## \*\* CONTINUING DATA \*\*\*\*\*

This appln claims benefit of 60/453,164 03/10/2003 and claims benefit of 60/519,104 11/12/2003  
 and is a CIP of 10/273,542 10/19/2002

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*  
 \*\* 05/26/2004

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY MA	SHEETS DRAWING 11	TOTAL CLAIMS 2	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Allowance				
Verified and Acknowledged Examiner's Signature	Initials			

## ADDRESS

21253

## TITLE

Methods for issuing, distributing, managing and redeeming investment instruments providing securitized annuity

FILING FEE RECEIVED 489	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	-------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------